

## TITLE OF THE INVENTION

### IMAGE PROCESSING APPARATUS AND METHOD

#### FIELD OF THE INVENTION

5           The present invention relates to an image processing apparatus and method and, more particularly, to image processing of embedding a different kind of information in an image as added information.

#### 10 BACKGROUND OF THE INVENTION

          Apparatuses such as printers and copying machines that print or form color images are making great progresses in terms of both performance and penetration. In addition, to print or form full-color images, many  
15 schemes including silver halide scheme, thermal printing, electrophotography, electrostatic printing, and inkjet printing have been developed, and high-quality full-color images can be obtained. However, a new problem rises under these circumstances.

20           That is, bank notes and securities can easily be forged using a full-color image printing apparatus. For this reason, full-color image printing apparatuses must have a function of preventing forgery. Recent full-color image printing apparatuses have various  
25 forgery preventing functions. The most general scheme of implementing a forgery preventing function is so-called pattern tracking in which in printing an

image, a periodic dot pattern representing the machine number of the apparatus or the like is printed on the printing paper sheet. If a forged bank note or the like is found, the machine number is detected from the dot pattern to specify the apparatus used for forgery. The dot pattern is printed on all printing paper sheets to be output from the apparatus and is therefore generally printed using the most unnoticeable color, i.e., yellow.

10 In an apparatus for adding a different kind of information except an image by printing such a dot pattern on a printing paper sheet together with an image (to be expressed as "embedding a dot pattern in an image" hereinafter), the influence of the embedded dot pattern on the image and the accuracy of the different kind of information detected from the printed image depend on the shape of an on-dot pattern (to be described later) as a component of the embedded dot pattern. That is, use of an on-dot pattern that mainly aims at suppressing any degradation in image quality sacrifices accurate information detection. Conversely, use of an on-dot pattern that aims at improving the detection accuracy largely degrades the image quality.

## 25 SUMMARY OF THE INVENTION

The present invention has been made to solve the above problems individually or altogether, and has as

its object to provide dot pattern embedding that suppresses any degradation in image quality and ensures high detection accuracy.

In order to achieve the above object, according  
5 to a preferred aspect of the present invention, an image processing apparatus for embedding a dot pattern which indicates added information, comprising: a halftone processor, arranged to execute error diffusion for an image; a determiner, arranged to determine a  
10 component of the dot pattern to be embedded on the basis of the image that has undergone error diffusion; and an embedding section, arranged to embed the dot pattern in the image that has undergone error diffusion using the determined component is disclosed.

15 Also, an image processing method of embedding a dot pattern which indicates added information, comprising the steps of: executing error diffusion for an image; determining a component of the dot pattern to be embedded on the basis of the image that has  
20 undergone error diffusion; and embedding the dot pattern in the image that has undergone error diffusion using the determined component is disclosed.

Other features and advantages of the present invention will be apparent from the following  
25 description taken in conjunction with the accompanying drawings, in which like reference characters designate the same or similar parts throughout the figures thereof.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram showing added information embedding processing;

5        Fig. 2 is a view showing a specific dot pattern added to a yellow plane;

Fig. 3 is a view showing an on-dot pattern;

Fig. 4 is an enlarged view of Fig. 2;

10       Fig. 5 is a flow chart showing the flow of processing in an added information superposing section;

Fig. 6 is a view showing on-dot patterns corresponding to on-dot data;

Fig. 7 is a view for explaining density maintaining processing;

15       Fig. 8A is a view showing a color component image after pseudo-half-toning;

Fig. 8B is a view showing the two-dimensional Fourier power spectrum of the color component image shown in Fig. 8A;

20       Fig. 8C is a graph that plots the distribution of a power  $P$  in the radial direction of the circle shown in Fig. 8B;

Fig. 9A is a view showing a color component image obtained by adding the on-dot shown in Fig. 6(b), which  
25 is formed from  $3 \times 3$  dots, to the color image component shown in Fig. 8A;

Fig. 9B is a view showing the two-dimensional

Fourier power spectrum of the color component image shown in Fig. 9A;

Fig. 9C is a graph that plots the distribution of the power P in the radial direction of the circle shown in Fig. 9B;

Fig. 10 is a flow chart for explaining on-dot pattern shape determination processing;

Fig. 11 is a view showing on-dot patterns;

Fig. 12 is a view showing states wherein dot patterns are embedded in the color component image shown in Fig. 8A using the on-dot patterns shown in Fig. 11;

Fig. 13 is a graph showing the two-dimensional Fourier power spectra of the color component images shown in Fig. 12;

Fig. 14 is a view showing a general error diffusion filter used for pseudo-half-toning;

Figs. 15A to 15C are views showing color component images after error diffusion;

Figs. 16A to 16C are views showing images obtained by embedding dot patterns in the color component images shown in Figs. 15A to 15C without considering the texture;

Fig. 17 is a view showing on-dot patterns to be added to the color component images shown in Figs. 15A to 15C in the second embodiment;

Figs. 18A to 18C are views showing color

component images in which dot patterns are embedded using the on-dot patterns shown in Fig. 17;

Fig. 19 is a view showing on-dot patterns to be added to the color component images shown in Figs. 15A to 15C in the third embodiment; and

Figs. 20A to 20C are views showing color component images in which dot patterns are embedded using the on-dot patterns shown in Fig. 19.

## 10 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Image processing according to embodiments of the present inventions will be described below in detail with reference to the accompanying drawings.

[First Embodiment]

### 15 [Arrangement]

The outline of information (to be referred to as "added information" hereinafter) printing (embedding) processing using a dot pattern will be described.

Fig. 1 is a block diagram showing added information embedding processing. Referring to Fig. 1, an input image signal represented by R, G, and B color components is converted into four color components, i.e., C (cyan), M (magenta), Y (yellow), and K (black) by a color conversion section 101. Each color component is subjected to correction processing by a multi-correction processing section 102.

Next, a pseudo-half-toning section 103 executes

pseudo-half-toning using systematic dithering or error diffusion. On the other hand, an added information generation section 104 generates added information on the basis of the ID of the printer main body or user information. The generated added information is superposed on the Y color component by an added information superposing section 105. The C, M, Y, and K color components are input to a printer engine 106. Then, an image 107 in which the added information other than the image information is embedded is printed.

Fig. 2 is a view showing a specific dot pattern added to a yellow plane.

Referring to Fig. 2, an A × B inch area 201 indicated by broken lines is called an "information area". Added information is expressed by a dot pattern in the area 201. The information areas continuously and periodically exist, as shown in Fig. 2. Each pixel indicated by symbol (■) in Fig. 2 is formed from 3 × 3 dots shown in Fig. 3. An enlarged view of Fig. 2 is shown in Fig. 4.

Symbol (■) shown in Fig. 4 indicates a dot (to be referred to as an "on-dot" hereinafter) of a dot pattern printed on a printing paper sheet with yellow ink or yellow toner.

The added information superposing section 105 shown in Fig. 1 will be described here in more detail. Fig. 5 is a flow chart showing the flow of processing

in the added information superposing section 105.

In step S501, a plurality of kinds of on-dot data 511 prepared in advance (stored in a memory) are loaded. Fig. 6 is a view showing on-dot patterns corresponding to the on-dot data 511. Fig. 6(a) shows an on-dot pattern for a low density, Fig. 6(b) shows an on-dot pattern for a medium density, and Fig. 6(c) shows an on-dot pattern for a high density. This description will be done assuming that the printer has a function of selectively printing a high-density dot (to be referred to as a "dark dot" hereinafter) or a low-density dot (to be referred to as a "light dot" hereinafter). Hence, a low- or medium-density on-dot is printed by a light dot, and a high-density on-dot is printed by a dark dot.

In step S502, added information 512 is loaded. In step S503, the density of a color component image near the on-dot adding position is estimated, and a suitable on-dot pattern is selected from the three on-dot patterns. In step S504, density maintaining processing is executed to keep the density of the color component image near the on-dot adding position unchanged from that before addition of the on-dot, and the on-dot is added. Steps S503 and S504 are repeated until it is determined in step S505 that the on-dots are added to all on-dot adding positions.

The density maintaining processing in step S504



will be described in more detail.

Fig. 7 is a view for explaining the density maintaining processing. Fig. 7(a) shows a color component image which has undergone pseudo-halftoning.

5 Fig. 7(b) shows a color component image obtained by adding on-dots (Fig. 6(b)) without executing the density maintaining processing. Referring to Fig. 7(b), the density increases near the added on-dots. Hence, even when the unnoticeable yellow color is used,  
10 unnaturally visible dots may be formed to degrade the quality of the output image.

To suppress formation of unnatural dots, dots that are present in Fig. 7(a) are set off (dots are not formed) near the on-dot adding positions to prevent the  
15 number of formed dots from changing after addition of the on-dots. With this operation (density maintaining processing), the color component image shown in Fig. 7(c) is obtained, in which formation of unnatural dots is suppressed as compared to Fig. 7(b).

20 [Optimizing On-Dot Pattern]

In the first embodiment, an optimum on-dot pattern shape is determined by evaluating the influence of on-dot addition on the image quality in the frequency domain.

25 Fig. 8A is a view showing a color component image obtained by executing pseudo-halftoning using an error diffusion filter for a color component image which has

a relatively low and uniform density. Fig. 8B is a view showing the two-dimensional Fourier power spectrum of the color component image shown in Fig. 8A. In other words, Figs. 8A and 8B show the characteristic of the error diffusion filter.

Referring to Fig. 8B, the abscissa represents a horizontal frequency  $f_x$ , the ordinate represents a vertical frequency  $f_y$ , and the origin represents a DC component. The area in the circle with a radius  $f_c$  is an area having a relatively low power. The area outside the circle is an area having a relatively high power.

Fig. 8C is a graph that plots the distribution of a power  $P$  in the radial direction of the circle shown in Fig. 8B. Referring to Fig. 8C, the ordinate represents the power  $P$ , the abscissa represents a radial frequency  $f_r$ , and the origin represents the center of Fig. 8B. As is apparent from Fig. 8C, the error diffusion filter has a high-pass characteristic using the frequency  $f_c$  as a cutoff frequency.

Fig. 9A is a view showing a color component image obtained by adding the on-dot shown in Fig. 6(b), which is formed from  $3 \times 3$  dots, to the color image component shown in Fig. 8A. Note that the above-described density maintaining processing is executed in adding the on-dots.

Fig. 9B is a view showing the two-dimensional

Fourier power spectrum of the color component image shown in Fig. 9A. As is apparent from Fig. 9B, when the on-dots are added, the power  $P$  increases in the low-frequency domain. Fig. 9C is a graph that plots the distribution of the power  $P$  as in Fig. 8C. The power  $P$  mainly increases in the low-frequency domain probably because the on-dot pattern shown in Fig. 6(b) has oblique dot connection.

In embedding a dot pattern in an image as a code that expresses certain information and extracting the information from the printed image using an image reading apparatus such as a scanner, in order to increase the information detection accuracy while suppressing the degradation in image quality due to dot pattern embedding, the density of dots of the dot pattern must be increased to some extent. However, if the dot pattern as shown in Fig. 9A is used, the power in the low-frequency domain increases because the dot density of the dot pattern to be embedded is higher than the pixel density of the image near the on-dot adding position. The human visual characteristic is supposed to have a high sensitivity to a low-frequency component. Hence, any unnecessary increase in power in the low-frequency domain considerably degrades the image quality.

To the contrary, when a dot pattern that generates no unnecessary increase in power in the

low-frequency domain is embedded, the image quality hardly degrades because of the human visual characteristic. In the first embodiment, an appropriate on-dot pattern shape that causes no unnecessary increase in power in the low-frequency domain of the color component image as shown in Fig. 8A is determined by on-dot pattern shape determination processing (to be described later).

Fig. 10 is a flow chart for explaining on-dot pattern shape determination processing according to the first embodiment. This processing is executed in, e.g., step S503 shown in Fig. 5 where an optimum pattern is selected.

In step S1001,  $N (\geq 1)$  types of dot patterns are prepared as candidates for an appropriate on-dot pattern. That is, on-dot pattern candidates are selected from the on-dot patterns loaded in step S501. As the on-dot pattern candidates, several ( $N$ ) types of on-dot patterns are selected on the basis of the above-described density of the color component image near the adding position. For the following description, assume that three different on-dot patterns P1 to P3 shown in Fig. 11 is selected.

In step S1002, a counter  $n = 1$  is set. In step S1003, a dot pattern is embedded in the color component image using an on-dot pattern  $P_n$  corresponding to the counter  $n$ . In step S1004, the image having the

embedded dot pattern is converted into a frequency domain using Fourier transform or the like, and the power spectrum of the image is obtained.

Figs. 12 is a view showing states wherein dot patterns are embedded in the color component image shown in Fig. 8A using the three different on-dot patterns P1 to P3 shown in Fig. 11. Let  $i(x,y)$  be the image before the dot pattern is embedded,  $i_{p_n}(x,y)$  be the image having the dot pattern embedded using the on-dot pattern  $P_n$ , and  $I(fx,fy)$  and  $I_{p_n}(fx,fy)$  be the images obtained by converting these images into frequency domains. Then, power spectra  $S(fx,fy)$  and  $S_{p_n}(fx,fy)$  of the images are given by

$$S(fx,fy) = |I(fx,fy)|^2 \quad \dots (1.1)$$

$$S_{p_n}(fx,fy) = |I_{p_n}(fx,fy)|^2 \quad \dots (1.2)$$

Next, in step S1005, an evaluation value  $E_n$  that evaluates the degradation in image quality when the dot pattern is embedded by the on-dot pattern  $P_n$  is obtained using the resultant power spectra.

$$E_n = \sum_{fr < f_{max}} |S_{p_n}(fx,fy) - S(fx,fy)| \quad \dots (1.3)$$

for  $fr = \sqrt{(fx)^2 + (fy)^2}$

That is, the evaluation value  $E_n$  is obtained by calculating the difference between the power spectra obtained by equations (1.1) and (1.2) and totalizing the difference values within the range of  $fr < f_{max}$ . The value  $f_{max}$  represents the upper limit of the frequency range used to calculate the difference value.

The cutoff frequency  $f_c$  or any other arbitrary frequency can be used as  $f_{max}$ .

Processing in steps S1003 to S1005 is repeated, and the value  $N$  is incremented in step S1007 until it is determined in step S1006 that  $n = N$ .

Fig. 13 is a graph showing the two-dimensional Fourier power spectra of the color component images shown in Fig. 12. When the images having dot patterns embedded by the on-dot patterns P1 to P3 have frequency characteristics as shown in Fig. 13, evaluation values  $E1$  to  $E3$  obtained by equation (1.3) have a relationship given by

$$E1 < E2 < E3 \quad \dots (1.4)$$

In step S1008, an appropriate on-dot pattern is determined on the basis of the evaluation value  $E_n$ . To suppress any degradation in image quality due to dot pattern embedding, the on-dot pattern P1 having the smallest evaluation value  $E_n$  can be optimally used. However, that the evaluation value  $E_n$  is small also means that the shape of the on-dot pattern  $P_n$  is similar to the dot layout of the image. Hence, it is more difficult to detect the added information from the printed image by a reading apparatus. To prevent this, in the first embodiment, a lower limit value  $E_{min}$  is prepared. Any on-dot pattern that satisfies  $E_n < E_{min}$  is not employed. The lower limit value  $E_{min}$  is preset in accordance with the characteristic of the

error diffusion filter or the density state of the image.

For example, if the evaluation value  $E1$  of the on-dot pattern  $P1$  is smaller than the lower limit value  $E_{min}$ , the on-dot pattern  $P1$  is not employed. As a consequence, the on-dot pattern  $P2$  is employed as an appropriate dot pattern for the color component image shown in Fig. 8A. If  $E1 < E2 \leq E_{min}$ , the on-dot pattern  $P3$  is employed.

As described above, in adding a dot pattern to an image, if an on-dot pattern is selected such that an increase in power in the low-frequency domain is minimized, the dot pattern can be embedded with less degradation in image quality.

Processing in an area having a relatively low image density has been described above. With the same processing as described above, an appropriate on-dot pattern can be determined even for an area having a high image density.

[Second Embodiment]

Image processing according to the second embodiment of the present invention will be described below. The same reference numerals as in the first embodiment denote almost the same components in the second embodiment, and a detailed description thereof will be omitted.

In the first embodiment, a method of evaluating

the influence of dot pattern embedding on an image in the frequency domain and determining an appropriate on-dot pattern shape on the basis of the evaluation result has been described. In the second method, a  
5 method of determining an appropriate on-dot pattern shape on a real space in accordance with the texture of an image will be described.

Fig. 14 is a view showing a general error diffusion filter used for pseudo-halftoning. Symbol  
10 (●) indicates a pixel to be quantized. Peripheral pixels with error diffusion coefficients  $a$  to  $d$  are pixels to which an error will be diffused (to be referred to as "error diffusion pixels" hereinafter).

In error diffusion, the difference value between  
15 a pixel value quantized at a given threshold value and a pixel value before quantization is weighted by the error diffusion coefficients  $a$  to  $d$  and distributed (added) to error diffusion pixels. Generally, when the error diffusion range is wide, generated dots are  
20 uniformly distributed. However, error diffusion in a very wide range is impossible from the viewpoint of processing speed. An error is distributed to about four pixels, as shown in Fig. 14. For this reason, the image after error diffusion has a chain-like or  
25 peculiar texture.

Figs. 15A to 15C are views showing color component images after error diffusion. Fig. 15A shows



an area having a relatively low density after error diffusion in which no peculiar texture is observed. On the other hand, a diagonal texture downward from the upper left is observed in Fig. 15B (medium density area). A texture with vertically connected dots is observed in Fig. 15C (high density area). When dot patterns are embedded in the color component images shown in Figs. 15A to 15C without considering the texture, images shown in Figs. 16A to 16C are obtained.

10 In the second embodiment, a dot pattern is embedded in consideration of texture formation by error diffusion. In the second embodiment as well, an error diffusion filter is used for pseudo-half-toning, and the printer is assumed to be able to selectively print a  
15 dark dot or a light dot.

Figs. 17 is a view showing on-dot patterns to be added to the color component images shown in Figs. 15A to 15C in the second embodiment.

20 Since no peculiar texture is observed in the color component image shown in Fig. 15A, the on-dot pattern shown in Fig. 17(a) is used. Since a diagonal texture downward from the upper left is observed in the color component image shown in Fig. 15B, the on-dot pattern for a medium density shown in Fig. 17(b), which  
25 is formed from three light dots laid out in the same direction as that of the texture, is used. Since a vertical texture is observed in the color component

image shown in Fig. 15C, the on-dot pattern for a high density shown in Fig. 17(c), which is formed from three dark dots laid out in the same direction as that of the texture, is used.

5 Figs. 18A to 18C are views showing color component images in which dot patterns are embedded using the on-dot patterns shown in Fig. 17. As shown in Figs. 18A to 18C, when an on-dot pattern having a shape corresponding to the direction of texture formed  
10 by error diffusion is determined and added to each density area, the dot pattern can be embedded in the image while suppressing the influence on the image quality.

[Third Embodiment]

15 Image processing according to the third embodiment of the present invention will be described below. The same reference numerals as in the first embodiment denote almost the same components in the third embodiment, and a detailed description thereof  
20 will be omitted.

In the second embodiment, an example in which an on-dot pattern corresponding to the direction of texture is used in consideration of a texture formed by error diffusion has been described. According to the  
25 second embodiment, a dot pattern can be embedded in an image while suppressing the influence on the image quality. However, it may be difficult to detect the

added information from a printed image using a reading apparatus because the direction of texture matches or resembles the direction of on-dot pattern.

In the third embodiment, on-dot patterns shown in Fig. 19 are employed. The on-dot pattern shown in Fig. 19(a) is used for the low-density image shown in Fig. 15A. The on-dot pattern shown in Fig. 19(b) is used for the medium-density image shown in Fig. 15B. The on-dot pattern shown in Fig. 19(c) is used for the high-density image shown in Fig. 15C.

Figs. 20A to 20C are views showing color component images in which dot patterns are embedded using the on-dot patterns shown in Fig. 19. As shown in Figs. 20A to 20C, when an on-dot pattern almost perpendicular to the direction of texture formed by error diffusion is determined and added to each density area, the dot pattern can be embedded in the image while suppressing the influence on the image quality to almost the intermediate level between the case wherein the texture is not taken into consideration (Figs. 16A to 16C) and the second embodiment (Figs. 18A to 18C and increasing the added information detection accuracy.

Processing of the second and third embodiments is executed in, e.g., step S503 shown in Fig. 5 where an optimum pattern is selected. In addition, the direction of texture can be known by known filtering, and a detailed description thereof will be omitted.

When a vertical (or horizontal) texture is formed in a medium-density area, each dot of the on-dot pattern shown in Fig. 17(c) (or Fig. 19(c)) is changed to a light dot, and a resultant dot pattern is employed.

- 5 When a diagonal texture is formed in a high-density area, each dot of the on-dot pattern shown in Fig. 17(b) (or Fig. 19(b)) is changed to a dark dot, and a resultant dot pattern is employed.

- 10 A diagonal texture downward from the upper right or a horizontal texture may be formed depending on the structure of the error diffusion filter or processing. In that case, the on-dot patterns shown in Fig. 17 and Fig. 19 are adaptively used.

- 15 According to the above-described embodiments, in an apparatus for embedding a dot pattern which specifies the apparatus or user in an image after pseudo-halftoning in order to prevent forgery of a specific original such as a bank note or securities using a color image printing apparatus (printer or  
20 copying machine), the on-dot pattern (or its shape) to be used to embed a dot pattern is determined on the basis of the power spectrum in a frequency domain after dot pattern embedding or a texture formed by pseudo-halftoning, thereby multiplying (superposing)  
25 added information with less visual sense of incompatibility (with less degradation in image quality).

Additionally, when an on-dot pattern is selected  
and used in consideration of the direction of texture,  
the added information detection accuracy can be  
increased while suppressing the degradation in image  
5 quality.

As many apparently widely different embodiments of  
the present invention can be made without departing from  
the spirit and scope thereof, it is to be understood  
that the invention is not limited to the specific  
10 embodiments thereof except as defined in the appended  
claims.